

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Burwell et al. Attorney Docket No. CHEN0189

Serial No.: 10/799,357 Group Art Unit: 3739

Filed: March 12, 2004 Examiner: Johnson, Henry M.

Confirmation No. 6340

Title: LIGHT GENERATING DEVICE TO INTRAVASCULAR USE

THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Bellevue, Washington 98004

November 14, 2006

TO THE COMMISSIONER FOR PATENTS:

Applicant is aware of the information listed in the attached form that may be material to the prosecution of the above-identified patent application.

1. Copies of the listed Foreign Patent Documents and Other Information are enclosed for the Examiner's use.
2. Copies of the listed patents, publications, and other information were previously cited by or submitted to the U.S. Patent and Trademark Office in prior application Serial No. _____, filed _____, and relied upon for an earlier filing date under 35 U.S.C. § 120.
3. Documents cited herein marked with an "***" have not previously been cited in a priority application relied upon herein for an earlier filing date. Copies of any so-noted Foreign Patent Documents and Other Information are enclosed for the Examiner's use.
4. A concise explanation of the relevance of document I.D. No. _____ (which is not in the English language), as presently understood by the individual designated under 37 C.F.R. § 1.56(c) most knowledgeable about its content, is provided _____.
5. Pursuant to 37 C.F.R. § 1.97(b), this information disclosure statement is being filed within three months of the filing date of the national application, within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application, or before the mailing date of a first Office Action on the merits.
6. Submission with RCE: Pursuant to 37 C.F.R. § 1.114, this information disclosure statement is being submitted concurrently with a Request for Continued Examination

(RCE) in the above-identified application.

- ☒ 7. Pursuant to 37 C.F.R. § 1.97(c), this information disclosure statement is being filed after the period set forth in 37 C.F.R. § 1.97(b) but before the mailing date of either a final action under 37 C.F.R. § 1.113, or a notice of allowance under 37 C.F.R. § 1.311, and is accompanied by:
- a. _____ a certification as specified in 37 C.F.R. § 1.97(e) (*see below*); or
- b. ☒ the fee set forth in 37 C.F.R. § 1.17(p). Fees in the amount of \$180 will be charged to a credit card during electronic submission.
- _____ 8. Pursuant to 37 C.F.R. § 1.97(d), this information disclosure statement is being filed after the mailing date of either:
- a. _____ a final action under 37 C.F.R. § 1.113; or
- b. _____ a notice of allowance under 37 C.F.R. § 1.311,
- but before payment of the issue fee. The statement is accompanied by a certification as specified in 37 C.F.R. § 1.97(e), a statement requesting consideration of the information disclosure statement, and the petition fee set forth in 37 C.F.R. § 1.17(p). Check No. _____ in the amount of \$ _____ is enclosed.
- _____ 9. Certification Under 37 C.F.R. § 1.97(e): The undersigned hereby certifies that no item of information in the Information Disclosure Statement filed herewith was cited in a communication from a foreign Patent Office in a counterpart foreign application, or to my knowledge, after making reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.
- ☒ 10. Electronic Filing Authorization to Charge Deposit Account: Please charge any additional fees or credit any overpayment to Deposit Account No. 01-1940.

Respectfully submitted,

/mike king/
Michael C. King
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